



**Internal Audit
Internal Audit Plan – 2012-13 to 2014-15**

OSFI's Internal Audit group conducts regular internal audits. The Internal Audit Plan is approved one year at a time by the Audit Committee. The 2012-13 to 2014-15 audit plan was approved Q4 2011-12.

The approved audits are listed below.

Upcoming Internal Audits (2012-13)

Sector	Internal Audit Name
Supervision	Property & Casualty Group
Supervision	Capital Markets & Risk Assessment Services
Regulation	Capital Division
Corporate Services	Human Resources Training & Development

Upcoming Internal Audits (2013-14)

Sector	Internal Audit Name
Supervision	Deposit-Taking Group – Non-Conglomerates
Supervision	Life Insurance Group – Non-Conglomerates
Supervision	Supervision Support Group – Risk Measurement Analytics & Assessment
Supervision	Supervision Support Group - Practices
Regulation	Actuarial Division
Corporate Services	Finance – Governance
Corporate Services	Security & Administrative Services



Upcoming Internal Audits (2014-15)

Sector	Internal Audit Name
Supervision	Supervision Support Group – Anti-Money Laundering (AML) & Compliance
Supervision	Supervision Support Group – Operational Risk & Capital Assessment Services
Supervision	Supervision Support Group – Risk Surveillance & Analytics
Regulation	Private Pension Plans Division (PPPD) – Risk Assessment & Intervention
Corporate Services	Communications & Public Affairs Division
Corporate Services	Regulatory Information Division
Office of the Chief Actuary	IT Models & Data Management

The internal audit reports will be published on OSFI Web Site once approved by the Superintendent.