



Pooled Registered Pension Plan Annual Information Return

For assistance with completing this form, please refer to the Instruction Guide to Completing the Pooled Registered Pension Plan Annual Information Return, Auditor's Report and the Pension Plan Annual Corporate Certification.

Section 1 - Plan Information

Line			
001	Official Name of the plan	<input type="text"/>	
002	CRA registration number	<input type="text"/>	003 OSFI registration number <input type="text"/>
004	Administrator name	<input type="text"/>	
005	E-mail	<input type="text"/>	
006	Address	<input type="text"/>	
007	City	<input type="text"/>	008 Province <input type="text"/>
009	Postal code	<input type="text"/>	
010	Telephone number	<input type="text"/>	011 Fax number <input type="text"/>
012	Name of authorized officer	<input type="text"/>	
013	Plan Year End (MM-DD)	<input type="text"/>	
014	Period of this report		
	From	<input type="text"/>	To <input type="text"/>
015	Number of months in this reporting period	<input type="text"/>	

Section 2 – Financial Data for the Plan Year

Line		
	Report amount to the nearest dollar.	
016	Market value of assets at prior plan year-end	<input type="text"/>

Additions:

017	Employer contributions	<input type="text"/>
018	Member contributions	<input type="text"/>
019	Amount transferred to the plan from a RPP, SPP, DPSP, RRSP, RRIF or other pension plan	<input type="text"/>

020	Total increase due to investments	<input type="text"/>
020a	Investment income	<input type="text"/>
020b	Net gains (losses) on investments – realized	<input type="text"/>
020c	Net gains (losses) on investments – unrealized	<input type="text"/>

Deductions:

021	Amount used to purchase annuities	<input type="text"/>
022	Amount transferred from the plan to another PRPP, pension plan or retirement savings plan as per section 54(2)(a) and (b) of the PRPP Act.	<input type="text"/>
023	Amount of variable payments	<input type="text"/>
024	Other distributions paid from the plan	<input type="text"/>
025	Total fees/expenses paid from plan (not included in MER)	<input type="text"/>
026	Market value of assets at current plan year-end (Amount should be equal to the sum of lines 016 through 020 less the sum of lines 021 through 025 and should equal amount reported in the auditor's report)	<input type="text"/>

Section 3 – Plan Membership (by location at the end of the plan year)

Line

Enter relevant information.

	Location of Employment	Male 001	Female 002	Total 003	Number in Included Employment 004
028	Newfoundland and Labrador				
029	Prince Edward Island				
030	Nova Scotia				
031	New Brunswick				
032	Quebec				
033	Ontario				
034	Manitoba				
035	Saskatchewan				
036	Alberta				
037	British Columbia				
038	Yukon				
039	Northwest Territories				
040	Nunavut				
041	Total				

Section 4A - Default Investment Option - Financial Data

Line

Investment Options ID:

042 Default contribution rate %

Default Option

For each target date/balanced fund, provide the following information.

043 Name of Default Investment Option

044 Cost %

045 Annual rate of return (net of expenses) %

046 Market value of assets

047 Investments Held: (list top 10 holdings in percentage format)

	Holdings	Percentage
a. Investment #1		
b. Investment #2		
c. Investment #3		
d. Investment #4		
e. Investment #5		
f. Investment #6		
g. Investment #7		
h. Investment #8		
i. Investment #9		
j. Investment #10		

Asset Allocation:

	Percentage
048 Cash and Equivalents	<input type="text"/>
049 Canadian Bonds	<input type="text"/>
050 Foreign Bonds	<input type="text"/>
051 Canadian Equity	<input type="text"/>
052 U.S. Equity	<input type="text"/>
053 Foreign Equity	<input type="text"/>
054 Other	<input type="text"/>

Section 4B - Other Investment Options - Financial Data

Line

For each Investment Option other than the Default Option, provide the following information.

Other Investment Options ID:

056 Name of Investment Option

057 Cost %

058 Annual rate of return (net of expenses) %

059 Market value of assets

060 Investments Held: (list top 10 holdings in percentage format)

	Holdings	Percentage
a. Investment #1		
b. Investment #2		
c. Investment #3		
d. Investment #4		
e. Investment #5		
f. Investment #6		
g. Investment #7		
h. Investment #8		
i. Investment #9		
j. Investment #10		

Asset Allocation:

	Percentage
061 Cash and Equivalents	<input type="text"/>
062 Canadian Bonds	<input type="text"/>
063 Foreign Bonds	<input type="text"/>
064 Canadian Equity	<input type="text"/>
065 U.S. Equity	<input type="text"/>
066 Foreign Equity	<input type="text"/>
067 Other	<input type="text"/>

Section 5 – Other Plan Information

- Line
- 068 Did the plan become a designated pooled pension plan as defined in subsection 147.5(1) of the ITA during the year? Yes No
- 069 Does the plan comply with the 10% rule, the 30% rule, and related party transaction rules described in sections 9, 10, and 12 of the Pooled Registered Pension Plan Regulations (PRPP Regulations) respectively? Yes No
- 070 Does the plan comply with the low cost requirement described in section 26 of the PRPP Act and section 20 of the PRPP Regulations? Yes No
- 071 Does the plan comply with the prohibition on offering inducements as described in section 24 of the PRPP Act? Yes No
- 072 How many members contributed to the plan during the year?
- 073 How many members contributed to the plan during the year, but are not employed with an employer participating in the plan?
- 074 Have you attached a copy of the auditor's report? Yes No
- 075 Number of employers who are participating in the plan at year-end

List of employers who are participating in the plan at year-end

Business ID	Business Name	Business Number #####AA####	Does the employer contribute to the Plan?
			<input type="radio"/> Yes <input type="radio"/> No

Section 6 – Certification

As an authorized officer of the administrator of the plan,

I, ,

(Please print the name of the authorized officer of the Plan Administrator)

certify that to the best of my knowledge, the following is true and correct:

(a) the information given on this return¹ is accurate and complete;

(b) the plan and investments comply with and are being administered according to the PRPP Act and the PRPP Regulations, and with section 147.5 of the ITA and the Regulations thereto;

and I acknowledge that:

(c) the information collected on this return will be used for the purposes of regulating the plan pursuant to the PRPP Act and the ITA, which are administered by OSFI and the CRA respectively, and shared with Statistics Canada pursuant to two separate agreements; and

(d) this return and the information provided will be subject to the Access to Information Act and the Privacy Act.

Date

Position of authorized officer

Telephone of authorized officer

E-mail of authorized officer

Personal information is collected under the authority of section 213 of the ITR and Section 58 of the PRPP Act and is used for the registration and administration of a PRPP. Information may also be disclosed under information-sharing agreements in accordance with the corresponding Acts. Incomplete or inaccurate information may result in financial penalties, administrative delays, and revocation of the plan.

Information is described in personal information banks CRA PPU 226 and OSFI PPU 025 and in the CRA and OSFI chapters of Info Source respectively. Personal information is protected under the Privacy Act and individuals have a right of protection, access to and correction or notation of their personal information.

¹ Return means sections 1 through 6 of this Annual Information Return.